

# In vitro evaluation of cellular responses induced by stable fullerene C<sub>60</sub> medium dispersion

Received May 19, 2010; accepted June 11, 2010; published online June 23, 2010

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Because of the expansion of the functionalities available for modification of fullerene C<sub>60</sub> and its derivatives, their uses are increasing. However, the consequences of fullerene exposure to human health have not been fully studied. In vitro experiments are useful for risk assessment and for understanding potential applications. However, the insolubility of pristine C<sub>60</sub> in water makes the *in vitro* evaluation of cellular responses difficult. To overcome this problem, we prepared a stable and uniform  $C_{60}$ -medium dispersion for in vitro examinations. In addition, we examined the effect of the  $C_{60}$ -medium dispersion on human keratinocyte HaCaT cells and human lung carcinoma A549 cells to understand the cellular responses induced by exposure to  $C_{60}$ . Results indicated that exposure to C<sub>60</sub> did not affect cell viability; neither apoptosis nor necrosis were induced, while cell proliferation was inhibited. Furthermore, intracellular oxidative stress was induced by C<sub>60</sub> exposure in both HaCaT and A549 cells. Transmission electron microscopy indicated the cellular uptake of  $C_{60}$  aggregates. The results obtained from this study indicate that  $C_{60}$  has oxidative stress induction potential. Further examinations including in vivo studies are necessary for a more accurate evaluation of biological influences by  $C_{60}$ .

*Keywords*: Fullerene/culture cell/oxidative stress/ stable dispersion/clonogenic assay.

Abbreviations: DMEM, Dulbecco's modified Eagle medium; FBS, foetal bovine serum; ROS, reactive

oxygen species; DCFH-DA, 2',7'-dichlorofluorescein diacetate.

Fullerene  $C_{60}$  is a molecule  $\sim 0.7$  nm in diameter which consisting of 60 carbon atoms (1). Since its discovery in 1985 by Kroto et al., many different applications of C<sub>60</sub> in industry, biology and medicine have been studied. A number of  $C_{60}$  derivatives are also reported (2), some of which showed antioxidant activity in cultured cells, such as the polyhydroxylated fullerene derivative  $C_{60}(OH)_x$  or the cystine  $C_{60}$  derivative (3–5). In particular, cystine C<sub>60</sub> protected rat pheochromocytoma PC12 cells from apoptotic cell death induced by hydrogen peroxide (5). Fullerene derivatives are utilized in cosmetics because of their antioxidant activities and their apparent safety. However, toxicity evaluation of pristine C<sub>60</sub>, the chemical precursor of the derivatives, has been controversial. Some evidence suggests that C<sub>60</sub> can induce oxidative stress. As an example, C<sub>60</sub> stimulated by visible light generated singlet oxygen, caused lipid peroxidation and subsequently, 8-hydroxydeoxyguanosine (8-OH-dG) formation (6). It has also been reported that pristine  $C_{60}$  induced lipid peroxidation of cell membranes (7), intratracheal instillation of C<sub>60</sub> to rat lung induced lipid peroxidation (8), and that treatment of cultured cells with  $C_{60}$ led to an increase in necrotic cells death but treatment with  $C_{60}(OH)n$  did not (9). Compared with  $C_{60}(OH)24$ , a highly water-soluble  $C_{60}$  derivative,  $C_{60}$ appears to be more cytotoxic (10). There are also reports that C<sub>60</sub> behaves as an antioxidant. Polyvinylpyrrolidone (PVP)-entrapped C<sub>60</sub>, which is soluble in water, showed antioxidant activity against reactive oxygen species (ROS) (11), indicating that pristine C<sub>60</sub> has higher cytotoxic potential than water-soluble C<sub>60</sub> derivatives. There is also a report suggesting that C<sub>60</sub> may induce inflammatory responses as intratracheal instillation of fullerene C<sub>60</sub> to mice resulted in an increase of cytokine levels (12).

Comprehensive evaluation and clarification of toxic mechanisms by *in vivo* examinations are also required for risk assessments. *In vitro* examinations demand dispersal of  $C_{60}$  into aqueous culture medium, but  $C_{60}$  is hardly soluble in water. This characteristic is one of the major causes of data incoherence of cellular responses to  $C_{60}$ . Culture medium for mammalian cells generally includes amino acids, salts and vitamins. In many cases, foetal bovine serum is added to the medium for healthy cell growth and then, proteins and lipids are included in the medium. As a result, cell culture

medium contains many electrolytes. Such electrolyterich solutions promote the formation of aggregates and agglomeration of  $C_{60}$  (13, 14), and  $C_{60}$  aggregates have the potential to behave as nanoparticles. The large aggregates will accumulate onto cells via gravity sedimentation and the small aggregates will enter the cells by diffusion. A concentration gradient of aggregates is formed in the medium and the concentration of dispersion does not correlate with 'cellular exposure concentrations' (15, 16). Therefore, determination of dispersion stability is important for in vitro examination of nanoparticles. Previous studies of cellular influences by C<sub>60</sub> used dispersants such as tetrahydrofuran (THF) or Tween 80 to obtain a stable C<sub>60</sub>-aqueous dispersion (17–20). Alternatively, the  $C_{60}$  was covered with PVP without modifying the C<sub>60</sub> itself (11). Although these dispersion techniques are excellent for industrial purposes, it is unclear whether the technique is suitable for in vitro toxicity assessment. For example, Tween 80 increases the susceptibility of rat thymocytes to oxidative stress (21). Recently, the dispersion of C<sub>60</sub> into aqueous solution using serum albumin has also been reported (22, 23). However, there has been no investigation of the cellular responses induced by pristine C<sub>60</sub> aggregates using stable dispersion methods. Here we have chosen to focus on the cellular responses of oxidative stress.

### **Materials and Methods**

### Cell culture

Human keratinocyte HaCaT cells were purchased from the German Cancer Research Center (DKFZ, Heidelberg, Germany). Human lung carcinoma A549 cells were purchased from the RIKEN BioResource Center (Tsukuba, Ibaraki, Japan). These cells were cultured in Dulbecco's modified Eagle medium (DMEM; Gibco, Invitrogen Corporation, Gland Island, NY) supplemented with 10% heat-inactivated foetal bovine serum (FBS; CELLect GOLD; MP Biomedicals Inc., Solon, OH), 100 units/ml of penicillin, 100 μg/ml of streptomycin and 250 ng/ml of amphotericin B (Nacalai Tesque Inc., Kyoto, Japan). Hereinafter this DMEM cocktail is called 'DMEM-FBS'. The DMEM cultures were placed in a 75-cm<sup>2</sup> flask (Corning Incorporated, Corning, NY) and incubated at 37°C in a 5% CO<sub>2</sub> atmosphere. For cell viability assays, cells were seeded in 96- or 6-well plates (Corning Inc.) at  $2 \times 10^5$  cells/ml and incubated for 24h; subsequently, the culture medium was removed, exposed to the C<sub>60</sub> dispersion and incubated for another 6 or 24 h.

#### Preparation of C<sub>60</sub> medium dispersion

C<sub>60</sub> fullerene (market name nanom purple SU), was obtained from the Frontier Carbon Corporation (Kitakyushu, Fukuoka, Japan). High-concentration and low-concentration C<sub>60</sub> dispersions were prepared as follows. C<sub>60</sub> powder was dispersed in FBS solution at 10 mg/ml and stirred for 2 h. Next, 60 ml of this dispersion was added to 250 ml of DMEM-FBS and the mixture was pulverized for 30 min with 50 µm-zirconia beads using a bead mill at an agitation rate of 15.0 m/s under a nitrogen purge. Then, 300 ml of DMEM was added to the mixture in the bead mill and pulverized for a further 1 h. A total of 300 ml of this mixture was used as the high-concentration C<sub>60</sub> dispersion, represented as No. 2 in Table I. Next, 60 ml of freshly prepared C<sub>60</sub>-FBS dispersion and 250 ml of DMEM were added to the C<sub>60</sub>-DMEM-FBS dispersion remaining in the bead mill, and the solution was stirred for 60 min. A volume of  $500\,\mathrm{ml}$  of this  $C_{60}$ -DMEM-FBS dispersion was collected as the high-concentration C<sub>60</sub> dispersion, designated as No.1 in Table I. Both these dispersions were centrifuged at 1000g for 10 min, 2000g for 10 min, 4000g for 10 min and 8000g for 45 min. The supernatant was collected and used as the  $C_{60}$  dispersion. The dispersions were passed through a filter of pore size 0.45 µm (Corning Incorporated) to sterilize the dispersion. Low-concentration C<sub>60</sub> dispersions were obtained by preparing 5-fold and 10-fold dilutions of the high-concentration C<sub>60</sub> dispersion No.2 with DMEM-FBS.

#### Characterization of C<sub>60</sub> medium dispersion

Secondary particles are defined as a complex of aggregates of primary particles formed by the adsorption of some medium components and proteins contained in FBS. The average particle size is defined as the size of the secondary particles estimated by measuring the intensity of the light scattered by the particles, assuming that the aggregate is globular. The C<sub>60</sub>-DMEM-FBS dispersion prepared by the aforementioned method was divided into three parts that were used simultaneously for biological examinations, C<sub>60</sub>-concentration measurements and particle-size measurements. The secondary particle size of C<sub>60</sub> in the DMEM-FBS dispersion was measured by dynamic light scattering (DLS). The diameter of secondary particles was determined using undiluted dispersions and estimated as an average of three measurements obtained using the following devices: DLS spectrophotometer DLS-7000 (632.8 nm; Otsuka Electronics Co., Ltd, Hirakata, Japan), a fibre-optics particle analyser FPAR-1000 (660 nm; Otsuka Electronics Co., Ltd) and a Nanotrac (780 nm; Nikkiso Co., Ltd, Tokyo, Japan). Measurements were performed at a temperature of  $25.0 \pm 0.1^{\circ}$ C. Samples for the particle-size measurement, C<sub>60</sub> concentration measurement and cytotoxicity assay were obtained from a position 1 cm from the surface of solutions maintained in a static 15-ml tube. Viscosity of the solvent was calculated on the basis of the concentration of free serum albumin in the medium. The C<sub>60</sub> concentration in the DMEM-FBS dispersion was determined by high-performance liquid chromatography (HPLC). In order to digest adsorbed proteins onto the surface of C<sub>60</sub>, 30 µl of protease K (Takara Bio Inc., Otsu, Japan) solution at a concentration of 20 mg/ml was added to 2 ml of the C<sub>60</sub>-DMEM dispersion and incubated for 12 h at 40°C. To extract C<sub>60</sub>, the dispersion was mixed with 5 ml of toluene, and the solution was agitated for 5h, sonicated for 15 min and

Table I. Characterization of the fullerene C<sub>60</sub> in the DMEM-FBS dispersion.

Sample C <sub>60</sub>	Code of this study	C <sub>60</sub> concentration (μg/ml)	Size (nm)		u (nm)	u <sub>time</sub> (nm)	u <sub>app</sub> (nm)	u <sub>method</sub> (nm)	Zeta potential	pН
			$d_1$	219.3	15.7	0.8	15.5	2.7	$-15.8 \pm 1.9$	8.33
			$d_{\rm n}$	124.6	10.4	2.4	4.9	8.8		
	2	68	$d_1$	211.6	13.0	1.6	12.6	2.7	$-14.5 \pm 1.5$	8.20
			$d_{\rm n}$	129.6	12.8	5.7	7.7	8.5		
	3	14	$d_1$	211.7	16.9	2.5	16.5	3.1	$-12.8 \pm 1.2$	7.61
			$d_{\rm n}$	116.9	13.3	0.9	8.5	10.1		
	4	6.6	$d_1$	213.4	18.5	2.5	18.3	1.8	$-12.8 \pm 1.3$	7.68
			$\dot{d_{\rm n}}$	104.6	17.1	1.8	5.0	16.2		
Control		ND (<0.27)	11						$-10.1\pm1.8$	7.69

 $d_1$ : light scattering intensity-averaged diameter;  $d_n$ : number-averaged diameter. The value of the  $C_{60}$  concentration and zeta potential is the average value 5 days after-preparation. The  $C_{60}$  concentration and zeta potential was stable for 5 days after preparation.

centrifuged for 10 min at 2000g. The supernatant was filtered using a 0.2  $\mu m$ -filter unit (Whatman, NJ, USA, PTFE filter) and the precipitate was collected. Next, 5 ml of toluene was added to the precipitate and the same procedure was repeated. The obtained precipitate was washed twice with 1 ml of toluene and filtered using a 0.2- $\mu m$  filter unit. These extracts were mixed and analysed using HPLC.  $C_{60}$  concentrations in the extracts were measured using an HPLC system (Shimadzu Corporation, 10 Avp) equipped with a Develosil column (Nomura Chemical Co. Ltd, Japan) and a UV detector (333 nm). A mixture of toluene—methanol (65:35, v/v) was used as eluent, which was delivered at a rate of 1.0 ml/min.

The zeta potential of the extract was measured at 40 V and 25°C by using a Zetasizer Nano (Malvern Instruments Ltd, Malvern, UK).

#### Transmission electron microscope observations

Cell specimens exposed to  $C_{60}$  were observed using a transmission electron microscope (TEM). The preparation method of TEM specimens was as follows. Cells were fixed using 1.2% glutaraldehyde for 1 h at 4°C and 1% OsO<sub>4</sub> solution for 1 h at 4°C, dehydrated in ethanol, and embedded in epoxy resin. The resulting samples were then cut into ultrathin sections suitable for TEM observations by diamond-knife ultramicrotomy. TEM observations were then carried out using an H-7000 (Hitachi, Japan). The acceleration voltage in the TEM was 75 kV.

#### Measurement of intracellular oxidative stress

Intracellular ROS were detected using 2',7'-dichlorofluorescin diacetate (DCFH-DA) (Sigma-Aldrich). A 5 mM stock solution of DCFH-DA was prepared in DMSO, stored at -20°C and diluted 500 times with serum-free medium immediately before use. Cells were exposed to C<sub>60</sub> dispersion for 2, 6, 12 and 24 h. The dispersion was then changed to fresh serum-free DMEM that included 10 µM of DCFH-DA, and incubated for 30 min at 37°C. Cells were then washed once with PBS, collected by trypsinization, washed once again with PBS and finally resuspended in 500 µl of PBS. The cell samples in PBS were excited with a 488-nm argon ion laser in a Cytomics FC500 flow cytometry system, and the emission of 2',7'dichlorofluorescein (DCF) was recorded at 525 nm. Data were collected from at least 5,000 gated events. In addition, the intracellular ROS level of cells exposed to hydrogen peroxide (Wako Pure Chemical Industries, Ltd) at 10-1000 µM was measured as a positive control of the oxidative stress.

# Determination of DNA damage

DNA damage by C<sub>60</sub> was detected by comet assay (24) using the CometAssay Silver kit (Trevigen, Inc., Gaithersburg, MD, USA) according to the protocol outlined by the manufacturer. The kit included LMAgarose, CometSlide and Lysis Solution. HaCaT cells were exposed to TiO2-DMEM-FBS dispersion for 24 h, harvested by trypsinization, and resuspended in PBS at  $1 \times 10^5$  cells/ml. Then the cells were mixed with molten LMAgarose at a ratio of 1:10 (v/v), and 75 µl of the mixture was immediately applied onto the CometSlide. Then the slides were immersed in pre-chilled lysis solution and left on ice for 30 min. After removing excessive buffer from the slide, the slide was immersed in alkaline solution (300 mM NaOH, 1 mM EDTA, pH >13) and left for 20 min at room temperature in the dark. Then the slide was washed twice with TBE buffer and electrophoresis was performed using a Mupid submarine electrophoresis system (Advance Co., Ltd, Tokyo, Japan) at 50 V for 2 min. Slides were then rinsed by dipping several times in dH<sub>2</sub>O. After the slide was soaked in 70% ethanol for 5 min, electrophoresed DNA was stained by silver staining and counted under the microscope. The level of DNA damage was determined as described by Tayama and Nakagawa (25).

#### Mitochondrial activity and clonogenic assay

For the 3-(4,5-dimethyl-2-thiazolyl)-2,5-diphenyltetrazolium bromide (MTT) assay, cells were seeded in a 96-well plate (Corning) at  $1\times 10^5$  cells/well, incubated for 24h, removed from the culture medium and then exposed to C<sub>60</sub>-DMEM dispersion or H<sub>2</sub>O<sub>2</sub> solution for an additional 6 and 24h. For the determination of mitochondrial activity, cells were incubated with 0.5 mg/ml MTT (Nacalai Tesque, Inc.) at 37°C for 2h. Isopropyl alcohol containing 40 mM HCl was added to the culture medium (3:2, by volume) and they were mixed by pipette until the formazan was completely

dissolved. The optical density of formazan was measured at 570 nm using a Multiskan Ascent plate reader (Thermo Labsystems, Helsinki, Finland). Cell proliferation was examined by a clonogenic assay based on methods described by Herzog et al. (26) and Franken et al. (27). Cells were seeded in six-well microplates (Corning) at a density of 300 cells/well. Each well contained 2 ml of the cell culture medium. Cells were allowed to attach for ~14 h before they were washed with phosphate buffered saline (PBS) and treated with 2 ml of the centrifuged supernatant. Cells were cultured over the time period required by the control cells to form colonies with a colony being defined as a cluster of at least 50 cells. Here, the time period for culture was set as 7 days. After the culture procedure was completed, the supernatants were removed and the cells were washed once with 2 ml of PBS. Then, the cells were fixed with 100% methanol for 15 min. After the methanol was removed, the cells were stained with Giemsa staining solution (Nacalai Tesque, Inc.), which was diluted 50 times with water, for 15 min and rinsed once with distilled water. Then, the number of colonies was counted. Determination of cell death was detected by the measurement of phosphatidylserine (PS) exposure through the binding of annexin V-FITC, according to the protocol outlined by the manufacturer of the apoptosis detection kit (Medical & Biological Laboratories, Nagoya, Japan). Treated cells were stained with annexin V-FITC and propidium iodide (PI) and analysed with a Cytomics FC500 Flow Cytometry System (Beckman Coulter, Inc., Miami, FL, USA) equipped with a 488-nm argon laser. Data were collected for 10,000 events.

#### Statistical analysis

Data are expressed as the mean  $\pm$  S.D. for at least three separate experiments. Statistical analyses were performed by the analysis of variance (ANOVA) using Dunnett or Tukey tests for multiple comparisons. The calculation method has been described in each figure legend.

# Results

# Characterization of fullerene C<sub>60</sub> medium dispersion

The results of the characterization of the  $C_{60}$ –DMEM dispersion medium are shown in Table I. No precipitate was visible in any of the dispersion mediums. In all  $C_{60}$ –DMEM–FBS dispersions, both the light scattering intensity and the average of secondary particle size did not vary throughout the examination period (Fig. 1). Therefore, on the basis of the fact that gravity sedimentation and agglomeration of  $C_{60}$  were absent in all the  $C_{60}$ –DMEM–FBS dispersions, it can be inferred that the  $C_{60}$  secondary particles in the DMEM–FBS dispersion were very stable. In the low concentration  $C_{60}$ –DMEM–FBS dispersion medium,

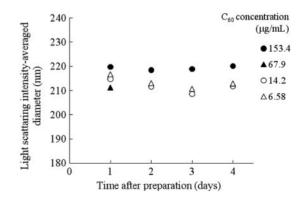


Fig. 1 Stability of size of  $C_{60}$  nanoparticles in DMEM–FBS medium dispersion. Light scattering intensities of  $C_{60}$  secondary particles were measured by DLS. The  $C_{60}$ –DMEM dispersion was prepared at Day 0. Changes to the light scattering intensities during the measurement were small.

the particle size distribution became broad and the number of average sized secondary particles became small by dilution. The pH of the high-concentration  $C_{60}$ –DMEM–FBS dispersion was in the basic range.

# TEM observation of $C_{60}$ fullerene exposed cells

First, cells that were exposed to  $C_{60}$  for 24h were observed by TEM (Fig. 2). The  $C_{60}$  was taken up into the cell as aggregates in both HaCaT and A549 cells. Internalized  $C_{60}$  aggregates were distributed in the cytoplasm within phagosome-like structures. Re-dispersion and nuclear entry of  $C_{60}$  was not observed. Internalized  $C_{60}$  aggregates were larger than the particle measured by DLS, suggesting that the aggregates accumulated in the cytoplasm. Internalized  $C_{60}$  aggregates did fuse to lysosomes as previously observed in platinum exposed cells (28, 29). Some aggregates formed lamellar cup-shaped lysosomes in the cytoplasm in A549 cells (Fig. 2E and F).

Interestingly, the particle size of the internalized  $C_{60}$  aggregates and the number-averaged diameter  $(d_n)$  of the  $C_{60}$  aggregates in the DMEM-FBS dispersion measured by DLS differed. In DMEM-FBS,  $C_{60}$  formed aggregates of  $100-130\,\mathrm{nm}$ , while the particle size of internalized  $C_{60}$  aggregates were larger at  $\sim 1.7-2.0\,\mathrm{\mu m}$ , as determined by TEM. There are two hypotheses for this observation, either agglomeration of the aggregates in the cells, or selective uptake of aggregates of particular size. However, the  $C_{60}$ -DMEM-FBS dispersion used in this study was

very stable and uniform during the examination period, suggesting that the intracellular agglomeration hypothesis is more likely.

# Oxidative stress to cultured cells induced by fullerene $C_{60}$

There are reports that some nanoparticles may induce oxidative stress in culture cells (30, 31). Since uptake of the  $C_{60}$  secondary particles was observed (Fig. 2), intracellular oxidative stress levels were examined. Intracellular oxidative stress induced by C<sub>60</sub> exposure was measured using the DCFH method (Fig. 3A). Intracellular ROS levels in C<sub>60</sub> exposed HaCaT cells increased over time. Intracellular ROS levels increased ~2- to 3-fold compared with control cells in both HaCaT and A549 cells that were exposed to high concentrations of C<sub>60</sub> for 24 h. In contrast, the intracellular ROS levels in cells that were exposed to the low concentrations of C<sub>60</sub> did not increase. Also, the influence of fullerene C<sub>60</sub> on intracellular ROS levels was compared with H2O2, which was used as a positive control for oxidative stress (Fig. 3B). The increase in the intracellular ROS level induced by 153.4 µg/ml of fullerene  $C_{60}$  was comparable with exposure to  $100-250 \,\mu\text{M}$  H<sub>2</sub>O<sub>2</sub>. In comparison with the cells exposed to C<sub>60</sub>, the rate of increase in intracellular ROS levels over time was larger in the H<sub>2</sub>O<sub>2</sub> exposed cells. The intracellular ROS level could not be measured in HaCaT cells which were exposed to 1,000 µM H<sub>2</sub>O<sub>2</sub> for 24 h, because almost all the cells were killed.

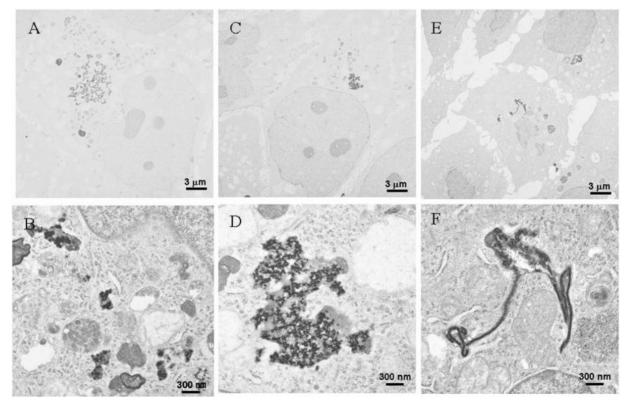


Fig. 2 Transmission electron microscope observations of cells exposed to  $C_{60}$ . (A-D) HaCaT cells exposed to the  $C_{60}$ -DMEM-FBS dispersion for 24 h. (E and F) A549 cells exposed to the  $C_{60}$ -DMEM-FBS dispersion for 24 h.

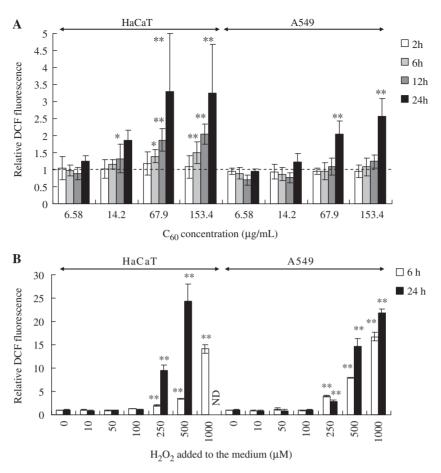


Fig. 3 Intracellular oxidative stress level in cells exposed to the  $C_{60}$ -DMEM-FBS dispersion and  $H_2O_2$ . (A) Intracellular ROS levels in cells exposed to the  $C_{60}$ -DMEM-FBS dispersion. The cells were exposed to the  $C_{60}$ -DMEM-FBS dispersion for 2, 6, 12 and 24 h. (B) Intracellular ROS level in cells exposed to  $H_2O_2$ . The cells were exposed to the medium including  $H_2O_2$  for 6 and 24 h. The medium was exchanged with fresh, FBS-free DMEM containing  $10\,\mu\text{M}$  DCFH-DA. After incubation for 30 min, cells were collected and washed. DCFH fluorescence in the cell was then measured by flow cytometry. The value of the DCFH fluorescence standardized control was 1. Values are the averages and S.D. of three independent experiments. \*P < 0.05; \*\*P < 0.01 (Dunnett, ANOVA).

# Influence of fullerene $C_{60}$ on MTT conversion and colony formation

Since mild oxidative stress is said to induce apoptosis (32), mitochondrial enzyme activity was measured as an index of living cells. The fullerene C<sub>60</sub>-DMEM dispersion was applied to HaCaT and A549 cells and mitochondrial activity was measured after 6 and 24h using the MTT assay (Fig. 4A). Neither HaCaT nor A549 cells showed a decrease in MTT conversion. In addition, cellular effects of fullerene C<sub>60</sub> were compared with H<sub>2</sub>O<sub>2</sub> (Fig. 4B). Although C<sub>60</sub> exposure did not induce reduction in MTT conversion, H<sub>2</sub>O<sub>2</sub> exposure induced cell death. These results suggest that the cellular effect of C<sub>60</sub> is milder than H<sub>2</sub>O<sub>2</sub>, but they produce similar levels of oxidative stress (Fig. 3).

Cell viability was also measured by flow cytometry (Fig. 4C and D). Cells exposed to  $C_{60}$ -DMEM dispersion and  $H_2O_2$  for 24h were stained with annexin V-FITC, and PI and fluorescence was measured by flow cytometry.  $H_2O_2$  induced apoptosis of HaCaT and A549 cells at 250  $\mu$ M. This result conforms to the  $H_2O_2$  findings in which intracellular ROS levels and reduction of cell viability were induced. In

contrast, compared to the control cells, both the annexin V-FITC and PI fluorescence did not increase significantly in  $C_{60}$  exposed cells (Fig. 4C), indicating that  $C_{60}$  did not induce apoptosis or necrosis in cultured cells following 24 h of exposure. On the other hand,  $C_{60}$  inhibited cell proliferation (Fig. 5A). In particular, growth inhibition was observed in cells that were exposed to high concentrations of  $C_{60}$ : The colonies of HaCaT cells cultured without  $C_{60}$  and with low concentrations of  $C_{60}$  were composed of 50 cells or more. In contrast, colonies of cells cultured with high concentrations of  $C_{60}$  were composed of 10 cells or less; and when A549 cells were exposed to high concentrations of  $C_{60}$ , the colonies were composed of 30 cells or less (Fig. 5B).

# DNA damage induced by fullerene C<sub>60</sub>

In many cases, increases in intracellular oxidative stress levels induce DNA damage (33, 34). C<sub>60</sub> fullerene has been reported to be associated with oxidative damage to DNA (35). Therefore, induction of DNA damage following C<sub>60</sub> exposure was examined by comet assay (Fig. 6). A concentration-dependent increase in DNA damage was observed following

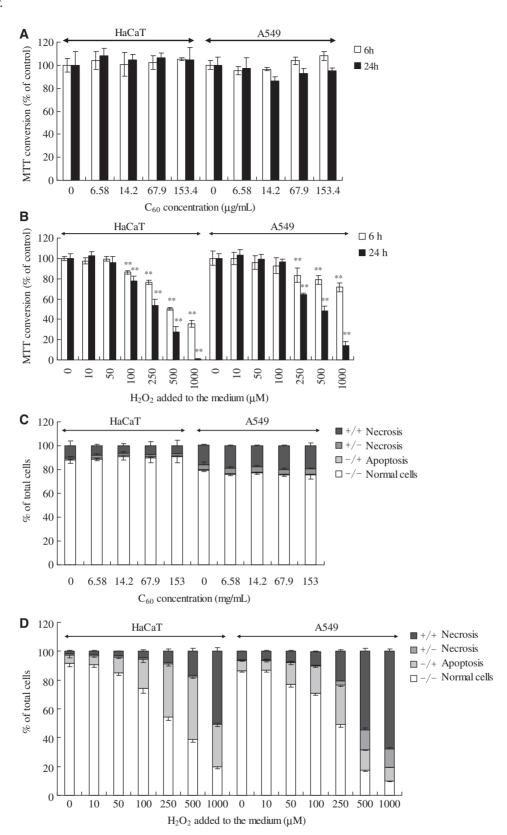


Fig. 4 Influence of  $C_{60}$ –DMEM–FBS dispersion on cell viability. (A and B) Comparison of the effects of fullerene  $C_{60}$  and  $H_2O_2$  on cells. (A) Effect of  $C_{60}$ –DMEM–FBS dispersion on mitochondrial enzyme activity. The HaCaT and A549 cells were exposed to the  $C_{60}$ –DMEM–FBS dispersion. Secondary particle sizes of  $C_{60}$  in dispersions were measured by DLS simultaneously with the MTT assay. (B) Effect of  $H_2O_2$  on intracellular ROS level and mitochondrial enzyme activity. The cells were treated with the dispersion for 6 (opened column) and 24 h (closed column), and the mitochondrial enzyme activity was measured by the MTT assay. The percentage of mitochondrial enzyme activity compared with the standardized control was 100%. Intracellular ROS level was measured by the same procedure described in Fig. 3. (C and D) Detection of apoptotic cells following  $C_{60}$  and  $H_2O_2$  exposure. The cells were exposed to the  $C_{60}$ –DMEM–FBS dispersion and  $H_2O_2$  for 24 h. Subsequently, the cell samples were treated with PI and annexin V-FITC and analysed by flow cytometry. The data indicates the rate of PI/annexin V positive (+) or negative (-) cells. The data are presented as the percentage of each cell from 10,000 cells. Values are averages of three independent experiments. \*\*P<0.01 (vs control, Dunnett, ANOVA).

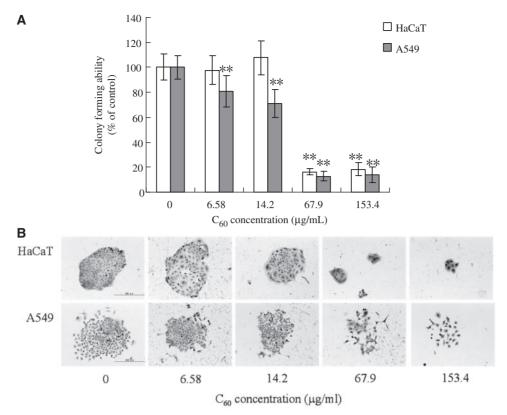


Fig. 5 Effect of  $C_{60}$ –DMEM–FBS dispersion on cell proliferation. HaCaT and A549 cells were exposed to  $C_{60}$ –DMEM–FBS dispersion. The cell proliferation was measured by the clonogenic assay. The cells were then cultured with the  $C_{60}$ –DMEM–FBS dispersion for 7 days before the number of colonies was counted. (A) Cell proliferation standardized to control (100%). \*\*P<0.01 (vs control, Dunnett, ANOVA). (B) Typical colony cultured in  $C_{60}$  dispersion at each concentration. The bar indicates 200 μm.

 $C_{60}$  exposure. High concentrations of  $C_{60}$  remarkably induced DNA damage in both HaCaT and A549 cells.

# **Discussion**

In this study, cellular responses induced by pristine fullerene  $C_{60}$  were examined using stable dispersion. A uniform and stable  $C_{60}$ -medium dispersion without chemical dispersant was successfully prepared. To investigate the cellular responses induced by  $C_{60}$ , high concentrations (150 and 68 µg/ml) and low concentrations (14 and 6.6 µg/ml) of  $C_{60}$ -DMEM-FBS dispersions were applied to cultured cells. Exposure to high concentrations of  $C_{60}$  led to an increase in intracellular ROS levels (Fig. 3A). This effect is likely due to the intracellular influence of  $C_{60}$  since intracellular ROS levels increased over time in the  $C_{60}$  exposed cells.

Although fullerene  $C_{60}$  has photocytotoxicity via induction of oxidative stress (36),  $C_{60}$  was not irradiated by light in the present study indicating that pristine  $C_{60}$  potentially has the ability to induce cellular oxidative stress without photoactivation. The oxidative stress induced by  $C_{60}$  did not affect cell viability after 24 h of exposure (Fig. 4). The cellular responses induced by fullerene  $C_{60}$  were different from responses induced by  $H_2O_2$ , the oxidative stress positive control, which induced oxidative stress and subsequently cell death. In  $H_2O_2$ -induced cell death, the induction of apoptosis and necrosis occurred in a concentration dependent manner (37). Even though intracellular ROS were

induced to similar levels,  $C_{60}$  treatment did not lead to a decrease in cell viability while  $H_2O_2$  led to cell death. Also,  $C_{60}$  did not induce apoptosis or necrosis. This result suggests that the mechanism behind the cellular effects induced by  $C_{60}$  and  $H_2O_2$  is different. Meanwhile,  $C_{60}$  inhibited cell proliferation. In the clonogenic assay, cells that were exposed to high concentrations of  $C_{60}$  had a smaller number of colonies that were composed of fewer cells, particularly for HaCaT cells (Fig. 5). These results indicate that  $C_{60}$  influences cell growth without affecting cell viability.

The influence of C<sub>60</sub> exposure on nucleic acids was also remarkable, with a concentration dependent effect of C<sub>60</sub> on DNA damage. It has been reported that light exposed fullerenes produce singlet oxygen (38, 39) and that singlet oxygen produced by  $C_{60}$  can induce DNA damage in Salmonella typhimurium (6). In this study, DNA damage by C<sub>60</sub> was observed in mammalian cells. TEM observations showed cellular uptake of C<sub>60</sub> aggregates distributed in the cytoplasm but they did not enter the nucleus (Fig. 2). Considering that DNA is generally protected by the nuclear membrane in eukaryotic cells, our findings suggest that C<sub>60</sub> did not directly attack the nucleic acid in the nucleus. However, the mechanism of DNA damage induced by  $C_{60}$  is still not fully understood and it is difficult to explain why induction of oxidative stress and DNA injury did not lead to cell death. Many nano-objects such as TiO<sub>2</sub> and CNT frequently induce cell death with via oxidative stress and DNA injury (40, 41).

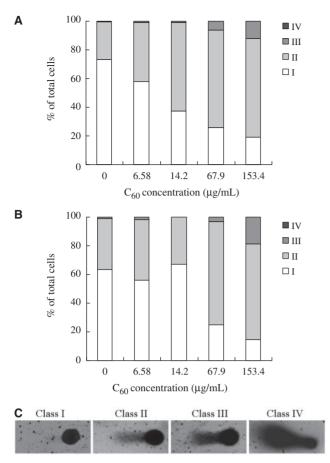


Fig. 6 DNA damage in cells exposed to the  $C_{60}$ –DMEM–FBS dispersion. HaCaT (A) and A549 (B) cells were exposed to the  $C_{60}$ –DMEM–FBS dispersion for 24h and DNA damage measured by the comet assay. Approximately 200 cells were counted and the DNA damage was classified according to the classifications described by Tayama and Nakagawa (25). (C) A typical image of each class is shown under the graph.

However, induction of oxidative stress and DNA injury by fullerene  $C_{60}$  did not lead to cell death but instead seemed to influence cell proliferation. The interaction of mechanisms of cell death and oxidative stress induced by fullerene  $C_{60}$  is currently under further investigation.

These results suggest that the major influence of  $C_{60}$ on the cultured cells is induction of oxidative stress caused by the uptake of aggregates, thereby inhibiting growth. When  $C_{60}$  was applied to cells, cellular uptake of C<sub>60</sub> secondary particles occurred then internalized C<sub>60</sub> particles induced mild oxidative stress to the cells. The oxidative stress induced by C<sub>60</sub> could be reduced by the cellular anti-oxidative defence system, such as through the haem oxygenase-1 system. Therefore, C<sub>60</sub> did not induce remarkable levels of cell death. However, in vivo, these results suggest the possibility that intracellular C<sub>60</sub> may persist for a long time without clearance by macrophages. In particular, the metabolic stability of  $C_{60}$  in the lung may lead to severe diseases and may be compared to the toxicity of asbestos (42–45). Inhaled asbestos stays in the lung for long periods of time by escaping from the immune system and consecutively induces DNA injury through

iron-derived ROS. In some cases, poorly soluble particles have genotoxic potential by direct and indirect generation of ROS (46, 47). Asbestos and other toxic particles, such as crystalline silica and diesel exhaust particles (DEP), induced DNA strand breaks in cells (48–50).

Although it is uncertain whether DNA damage by C<sub>60</sub> is a direct or indirect effect, C<sub>60</sub>-derived DNA injury is of great importance for risk assessment of C<sub>60</sub>. Many mild but prolonged periods of cellular oxidative stress could be induced by C<sub>60</sub> leading to secondary effects, such as lipid peroxidation and DNA injury. In vivo, inhalation of C<sub>60</sub> may induce prolonged oxidative stress in the lung and subsequently lead to fibrosis or tumour formation. The results obtained from long term exposure in vivo experiments are essential for an accurate risk assessment of C<sub>60</sub>, including the data of clearance of  $C_{60}$  in the lung. It has been reported that the lung half-life for C<sub>60</sub> is approximately one month (51). The influence of  $C_{60}$  will be smaller in vivo, because C<sub>60</sub> can be cleared by the alveolar macrophages and a more complex antioxidant defence system exists (52). Overall, the results obtained from this study indicate that  $C_{60}$  has a toxic potential. In contrast to other modified C60 forms, which have anti-oxidative activity (11, 53), pristine C<sub>60</sub> induces oxidative stress. Because the metabolism of these modified  $C_{60}$  forms in the body is unclear, the assessment of the biological activity of C<sub>60</sub> and its derivatives is ongoing.

# **Acknowledgements**

The authors would like to thank Ms Emiko Kobayashi (National Institute of Advanced Industrial Science and Technology, Japan) for help in performing the TEM observations.

#### **Funding**

This work was funded by a New Energy and Industrial Technology Development Organization (NEDO) Grant 'Evaluating risks associated with manufactured nanomaterials (P06041)'.

# Conflict of interest

None declared.

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